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PTO/SB/05 (03-01)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53 (b))

Attorney Docket No. 70670

First Inventor Shamsaasef, et al.

Title METHOD AND SYSTEM FOR PROVIDING THIRD PARTY AUTHENTICATION OF AUTHORIZATION

Express Mail Label No. EV015651983US

PTO  
U.S.  
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67610

02/04/02

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

## ADDRESS TO:

Box Patent Application  
Commissioner For Patents  
Washington, D.C. 20231-0001

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit on original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 40) 1  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 2) 1

5. Oath or Declaration (Total Pages 4) 1

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Nos.: \_\_\_\_\_

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name	Steve M. Freeland				
Address	120 S. La Salle Street, Suite 1600				
City	Chicago	State	IL	Zip Code	60603-3406
Country	USA	Telephone	858-552-1311	Fax	858-552-0095
Name (Print/Type)	Steve M. Freeland		Registration No. (Attorney/Agent)	42,555	
Signature			Date	February 4, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$ 780.00

**Complete if Known**

Application Number	To Be Determined
Filing Date	February 4, 2002
First Named	Medvinsky, Alexander
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	70670

**METHOD OF PAYMENT**

 1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	06-1135
Deposit Account Name	Fitch, Even, Tabin & Flannery
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2. Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION**
**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
101 740 201	370	Utility filing fee	740.00
106 330 206	165	Design filing fee	
107 510 207	255	Plant filing fee	
108 740 208	370	Reissue filing fee	
114 160 214	80	Provisional filing fee	

SUBTOTAL (1) (\$740.00)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20**	X 18.00	
Independent Claims	3	-3**	X 84
Multiple Dependent	1		

Large Entity	Small Entity	Fee Description	Fee Paid
103 18 203	9	Claims in excess of 20	
102 84 202	42	Independent claims in excess of 3	
104 280 204	140	Multiple dependent claim, if not paid	
109 84 209	42	**Reissue independent claims over original patent	
110 18 210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$0.00

\*\*for number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105 130 205	65			Surcharge - late filing fee or oath	
127 50 227	25			Surcharge - late provisional filing fee or cover sheet	
139 130 139	130			Non-English specification	
147 2,520 147	2,520			For filing a request for ex parte reexamination	
112 920* 112	920*			Requesting publication of SIR prior to Examiner action	
113 1,840* 113	1,840*			Requesting publication of SIR after Examiner action	
115 110 215	55			Extension for reply within first month	
116 400 216	200			Extension for reply within second month	
117 920 217	460			Extension for reply within third month	
118 1,440 218	720			Extension for reply within fourth month	
128 1,960 228	980			Extension for reply within fifth month	
119 320 219	160			Notice of Appeal	
120 320 220	160			Filing a brief in support of an appeal	
121 280 221	140			Request for oral hearing	
138 1,510 138	1,510			Petition to institute a public use proceeding	
140 110 240	55			Petition to revive - unavoidable	
141 1,280 241	640			Petition to revive - unintentional	
142 1,280 242	640			Utility issue fee (or reissue)	
143 460 243	230			Design issue fee	
144 620 244	310			Plant issue fee	
122 130 122	130			Petitions to the Commissioner	
123 50 123	50			Processing fee under 37 CFR 1.17(q)	
126 180 126	180			Submission of Information Disclosure Stmt	
581 40 581	40			Recording each patent assignment per property (lines number of properties)	40.00
146 740 246	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179 740 279	370			Request for Continued Examination (RCE)	
199 900 199	900			Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (\$40.00)					

**SUBMITTED BY**

Name (Print/Type)	Steve M. Freeland	Registration No. (Attorney/Agent)	42,555	Telephone	858-552-1311
Signature			Date	February 4, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

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